

Mid-Frequency Sonar Interactions with Beaked Whales

PI Kenneth G. Foote

Woods Hole Oceanographic Institution, 98 Water Street, Woods Hole, MA 02543
phone: (508) 289-2445 fax: (508) 457-2194 e-mail: kfoote@whoi.edu

Co-PI Gonzalo R. Feijoo

Woods Hole Oceanographic Institution, 98 Water Street, Woods Hole, MA 02543
phone: (508) 289-3694 fax: (508) 457-2194 e-mail: gfeijoo@whoi.edu

Co-PI Kent Rye

Naval Surface Warfare Center, Carderock Division, 9500 MacArthur Boulevard,
West Bethesda, MD 20817-5700
phone: (301) 227-1449 fax: (301) 227-5702 e-mail: Kent.Rye@navy.mil

Co-PI Joy Reidenberg

Mount Sinai School of Medicine, Center for Anatomy and Functional Morphology,
Mail Box 1007, 1 Gustave L. Levy Place, New York, NY 10029-6574
phone: (212) 241-7563 fax: (212) 860-1174 e-mail: joy.reidenberg@mssm.edu

Co-PI Mardi Hastings

Pennsylvania State University, Applied Research Laboratory, Washington DC Area Office,
801 N. Quincy St., Suite 120, Arlington, VA 22203-1708
phone: (703) 312-6993 fax: (703) 312-0095 e-mail: mch26@psu.edu

Award Number: N000140710992

LONG-TERM GOALS

The top-level goal of this project is to build an interactive online modeling and visualization system, called the Virtual Beaked Whale, to enable users to predict mid-frequency sonar-induced acoustic fields inside beaked whales and other marine mammals. Another high-level goal is to acquire new high-resolution morphometric and physical-property data on beaked whales for use in the model. It is hoped that the availability of such a system together with high-quality data will give researchers insight into the nature of sonar interactions with beaked whales, ultimately to introduce objectivity into a public discussion that has been hampered by lack of a scientific approach. It is hoped further that the tool will prove useful in evaluating alternate sonar transmit signals that retain the required information content but with substantially reduced physical effects in beaked whales.

OBJECTIVES

To achieve the long-term goals, a number of scientific and technological objectives have been identified. These include the following: To extend and apply existing computer codes, based on the finite-element method for acoustic interactions with structures, to beaked whales and mid-sonar frequencies in the range 1-10 kHz. To collect high-resolution morphometric data on beaked whales from *post-mortem* materials. To construct finite-element models of the anatomy, and to assign

Report Documentation Page			<i>Form Approved OMB No. 0704-0188</i>	
<p>Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.</p>				
1. REPORT DATE 30 SEP 2008	2. REPORT TYPE Annual	3. DATES COVERED 00-00-2008 to 00-00-2008		
4. TITLE AND SUBTITLE Mid-Frequency Sonar Interactions With Beaked Whales			5a. CONTRACT NUMBER	
			5b. GRANT NUMBER	
			5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)			5d. PROJECT NUMBER	
			5e. TASK NUMBER	
			5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Woods Hole Oceanographic Institution, 98 Water Street, Woods Hole, MA, 02543			8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)			10. SPONSOR/MONITOR'S ACRONYM(S)	
			11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release; distribution unlimited				
13. SUPPLEMENTARY NOTES code 1 only				
14. ABSTRACT The top-level goal of this project is to build an interactive online modeling and visualization system, called the Virtual Beaked Whale, to enable users to predict mid-frequency sonar-induced acoustic fields inside beaked whales and other marine mammals. Another high-level goal is to acquire new high-resolution morphometric and physical-property data on beaked whales for use in the model. It is hoped that the availability of such a system together with high-quality data will give researchers insight into the nature of sonar interactions with beaked whales, ultimately to introduce objectivity into a public discussion that has been hampered by lack of a scientific approach. It is hoped further that the tool will prove useful in evaluating alternate sonar transmit signals that retain the required information content but with substantially reduced physical effects in beaked whales.				
15. SUBJECT TERMS				
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT Same as Report (SAR)	18. NUMBER OF PAGES 8
a. REPORT unclassified	b. ABSTRACT unclassified	c. THIS PAGE unclassified	19a. NAME OF RESPONSIBLE PERSON	

physical properties of tissues. To verify the finite-element code. To incorporate the extended finite-element code and morphometric and physical-property data in an online modeling and visualization system called the Virtual Beaked Whale.

APPROACH

The approach and work plan are organized around an integrated set of six tasks, which are briefly elaborated.

Task 1. Development of a finite-element method to model acoustic interactions: The equations that govern acoustic interactions with structures are respectively acoustic and elastodynamic. These will be solved for the case where the structure is a beaked whale as represented by its morphometry (Task 2), and where each part is assigned its respective set of physical properties (Task 3). This work is being led by Co-PI Feijoo, with support by the PI. The method will be developed initially to model acoustic interactions with heterogeneous fluid-like bodies, then extended to the more general case of acoustic interactions with structures containing both fluid-like and elastic elements.

Task 2. Morphometry and meshing the three-dimensional anatomy: These data will be acquired from computerized tomography (CT) scans. The image data on cetacean specimens will be expressed in Digital Imaging and Communications in Medicine (DICOM) format. Amira visualization software will be used to identify and triangulate the surface corresponding to different tissues. A finite-element mesh will be constructed from these data. It is expected that some CT data will be provided by the WHOI Computerized Scanning and Imaging (CSI) Facility, led by WHOI Senior Scientist D. Ketten. Other CT data may be provided by collaborators, including S. Ridgway of the U.S. Navy Marine Mammal Program and D. Houser of Biomimetica, and C. Potter and J. Mead of the Smithsonian Institution. Co-PI Reidenberg will be responsible for interpreting the anatomical data. Co-PI Feijoo will construct finite-element meshes from the anatomical data.

Task 3. Physical properties of tissues: The best available data, including both published and new data, will be used to represent the acoustically important properties of mass density, elastic constants, and absorption coefficients for each identified internal organ or other body part. This task will be led by the PI, with potential contributions of new *in vivo* data from P. Rogers at the Georgia Institute of Technology.

Task 4. Measuring interactions of acoustic fields with cetacean carcasses: In order to test the finite-element code (Task 1), measurements will be performed of the internal fields in instrumented carcasses of marine mammals at the Naval Surface Warfare Center – Carderock Division. Carcasses will be prepared by surgically implanting acoustic sensors; CT-scanned to determine the morphometry and location of sensors; then acoustically measured at the NSWC facility. D. Ketten will perform the surgery and CT-scanning. Co-PI Rye will lead the measurement work at Carderock, supported by W. H. Lewis and J. Clark, who is developing a novel electromagnetic source transducer. The Carderock facility is essentially ready to begin measurements, pending testing of tourmaline sensors for sub-shock use and Lenz-effect transducers to be used as mid-frequency sound sources.

Task 5. Testing the FEM model: Rigorous testing will be performed by comparison with analytic solutions for immersed simple objects. These solutions are being developed or extended, and numerically realized for acoustically absorptive fluid spheres in a lossy immersion fluid. Numerical solutions for more complicated objects are also being identified. The PI is leading this task.

Task 6. Virtual Beaked Whale: This interactive online modeling and visualization system is the principal deliverable of the project. It incorporates a database with sets of whole-body morphometric data (Task 2) from beaked whales and other species, as well as the respective physical properties of tissues (Task 3). However, it also allows the user to enter other morphometric and physical-property data directly. The user will be able to specify an essentially arbitrary mid-frequency sonar signal. The output will consist of computed solutions for the internal field (Task 1) at user-specified locations. The user interface is being specified by Co-PI Hastings. Co-PI Feijoo is directing programmers in design and implementation sub-tasks. Co-PI Hastings will also perform testing and quality assurance. The PI will coordinate the various sub-tasks in addition to participating in the work.

WORK COMPLETED

On Task 1, the finite-element-method (FEM) code at the heart of the modeling system has been extended in several ways. (1) A perfectly matched layer has been implemented, eliminating extraneous numerical artifacts of wave reflections from the boundary of the computational volume. (2) Frequency-domain elastic elements have been realized in code, allowing propagation of shear waves in addition to ordinary, longitudinal acoustic waves in elastic tissues such as bone. (3) Interface elements have been added to transfer loads, namely pressure and stress, between acoustic and elastic elements, which is essential for representing interaction effects within the animal model. (4) A ten-node tetrahedral element was implemented in the code allowing for quadratic interpolation of the basic fields. Quadratic elements have better numerical properties than linear elements, including that of numerical dispersion, or wave-speed effects due to the finite size of elements, as well as permitting use of fewer nodal points to achieve a predefined accuracy.

On Task 2, work has been completed on several operations necessary for representing the animal model in a three-dimensional computational space. Anatomical realizations by Amira have been interfaced with the basic meshing software and FEM software. This enables a larger computational volume to be represented where the perfectly matching layer is defined.

Meshing developments have been illustrated using morphometric data derived from CT scans on a 142-cm-long common dolphin specimen. The scan was performed at 8-mm-slice thickness. Recently a new specimen, a 138-cm-long common dolphin, was CT-scanned at the WHOI CSI Facility at much higher resolution using 1-mm-thick slices. CT data on a living bottlenose dolphin have been received from the U.S. Navy Marine Mammal Program. Meshes generated from the first specimen are illustrated in Fig. 1.

Images of tissues have also been identified by tissue group in an operation called labeling. In the case of the same 142-cm-long common dolphin, upper respiratory and nearby tissues have been labeled in Fig. 2. Missing lung tissue, due to the resolution of the 8-mm scan, is also indicated.

On Task 4, a series of tests have been performed on sensors to be used in the acoustic experiments with instrumented marine mammal carcasses at NSWC. These tests have established that tourmaline sensors typically used at shock-pressure levels can also be used at sub-shock levels, and that new Lenz-effect transducers can generate powerful acoustic signals in the upper part of the mid-frequency sonar band. Experience has been gained in configuring the facility for marine mammal carcasses through measurements performed at the same facility with a 30-kg pig carcass. This carcass has been instrumented through surgical implantation of tourmaline sensors, and used in acoustic tests in preparation for the marine mammal carcass experiments.

On Task 5, analytic solutions for the acoustic interaction of plane acoustic waves with an immersed, absorbing, fluid sphere have been developed and rendered in code for use in validating the FEM code. These solutions have been realized numerically (Foote 2007a,b) for a 50-mm-diameter sphere ensonified at 10 and 100 kHz. The immersion medium has been represented as water of mass density 1000 kg/m³, sound speed 1500 m/s, and variable absorption in the range 0-10 dB/wavelength. The sphere has been represented as a fluid with variable mass density over the range 500-2000 kg/m³ to achieve density contrasts with water of 0.5-2, variable sound speed over 750-3000 m/s to achieve sound speed contrasts with water of 0.5-2, and variable absorption coefficient over 0-10 dB/wavelength. The pressure and displacement fields have been computed along the sphere axis, as defined by direction of propagation of the incident wave; transverse to this axis from center; and along the surface from the forward to reverse directions.

On Task 6, visualization routines were developed to return data from the FEM system and display these on a webpage. This system is operational in a pilot mode and is being used internally. Significant achievements include specification of the sonar frequency and direction of the incident plane wave, development of a client-server system that displays the computational results in the form of pressure fields in user-defined cross sections of the computational domain. The client-server system is responsible for passing data among the different components of the simulation environment, namely meshing, preprocessing, and FEM-analysis programs. The visualization system is presently based in Matlab, and is capable of operating with meshes of up to two million elements. A new, successor system will be capable of operating with meshes with more than 20 million elements.

A user group composed of colleagues from the international bioacoustics community is being defined for testing the Virtual Beak Whale when in a more advanced state.

RESULTS

Some exemplary computations of sonar interactions with the 142-cm-long common dolphin are shown in Fig. 3. These results are preliminary, as the dolphin is represented as a structure capable of supporting longitudinal acoustic waves but not shear waves.

IMPACT AND APPLICATIONS

National Security

At present, Navy operations at sea can be affected by the presence of marine mammals, hindering the use of sonar. The Virtual Beaked Whale will enable researchers to gauge the physical effects of particular sonar transmit signals on interactions with marine mammals. If the internal pressure or particle displacement at particular locations is found to be harmful, modifications to the sonar transmit signal waveform can be investigated quantitatively. This may lead to the discovery or identification of alternate sonar transmit signals, enabling sonar operations to be continued in the presence of marine mammals, but with use of safe transmit signals that still provide the required information content for Navy purposes.

Economic Development

Sonars, including echo sounders, are manufactured in the U.S. and in a number of other countries. Use of the Virtual Beaked Whale will enable alternate transmit signal waveforms to be investigated with respect to their potential effects on marine mammals as well as other aquatic animals. By opening a discussion on alternate signals, it is expected that sonar businesses will discover that there are

advantages in terms of information content that go well beyond those of safe operation in the presence of organisms.

Quality of Life

Coastal resources are widely appreciated to be precious, witness, for example, the precarious state of the right whale, which summers chiefly in the Gulf of Maine. Similarly, ecosystem health is recognized to be important to the quality of everyday life, as expressed, for example, in consumer concerns about the effects of mercury and PCBs on fish as a food product. An important tool in the assessment and management of fish and other aquatic biological resources, as well as ecosystems, is acoustics. Safe operation of sonars and other active acoustic devices used in this work is essential. The Virtual Beaked Whale is expected to contribute significantly to the process of ensuring safe acoustic operations.

Science Education and Communication

The new tool, the Virtual Beaked Whale, will be interactive. It is expected that the cumulative experience of users will contribute to new knowledge about acoustic interactions with marine mammals and other forms of aquatic life, also increasing public confidence in the value of data-based technology. The tool may be used by educators to promote education in fields as diverse as aquatic science, ecosystem assessment, resource conservation, and sonar engineering, also stimulating the kind of discussions that advance science.

RELATED PROJECTS

This project may benefit directly from a number of other projects. Three are cited. (1) Professor P. Rogers at Georgia Institute of Technology is currently investigating methods for determining elastic properties of cetacean head tissues *in vivo* under a grant from ONR. The quality of these will be unprecedented and of high value to the NOPP project. (2) Dr. S. Ridgway of the U.S. Navy Marine Mammal Program and Dr. D. Houser of Biomimetica have offered to provide morphometric data on living dolphins. These are unique and of high value to the NOPP project. (3) The Center for Ocean Sciences Education Excellence - New England (COSEE-NE) will be assisting the NOPP project in tailoring the interactive online tools under development to specific audiences. It will also be assisting in the dissemination of the results of the research and new educational tools.

REFERENCES

K. G. Foote, "Interaction of an inhomogeneous acoustic plane wave with a fluid sphere: Analytical solution," *J. Acoust. Soc. Am.*, 121, 3040 (2007a) (A).

PUBLICATIONS

K. G. Foote, "Internal field in an immersed, absorbing fluid sphere excited by a plane acoustic wave," *J. Acoust. Soc. Am.*, 122, 3033-3034 (2007b) (A).

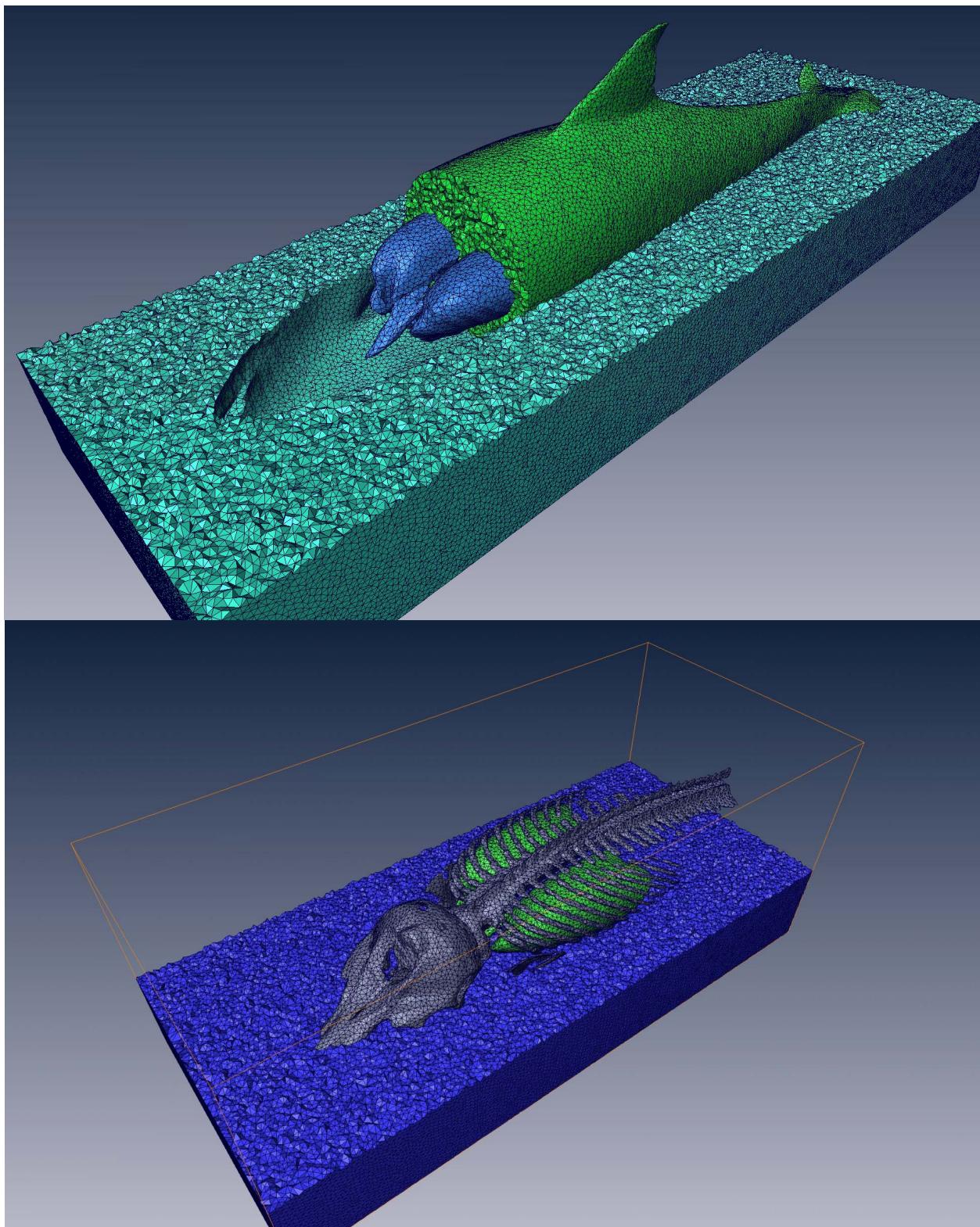


Fig. 1. Finite-element representation with tetraheda of a 142-cm-long common dolphin and external, computational volume, in two views. Upper panel: The lungs are indicated in blue. Lower panel: The skeleton is indicated in gray and the lungs in green.

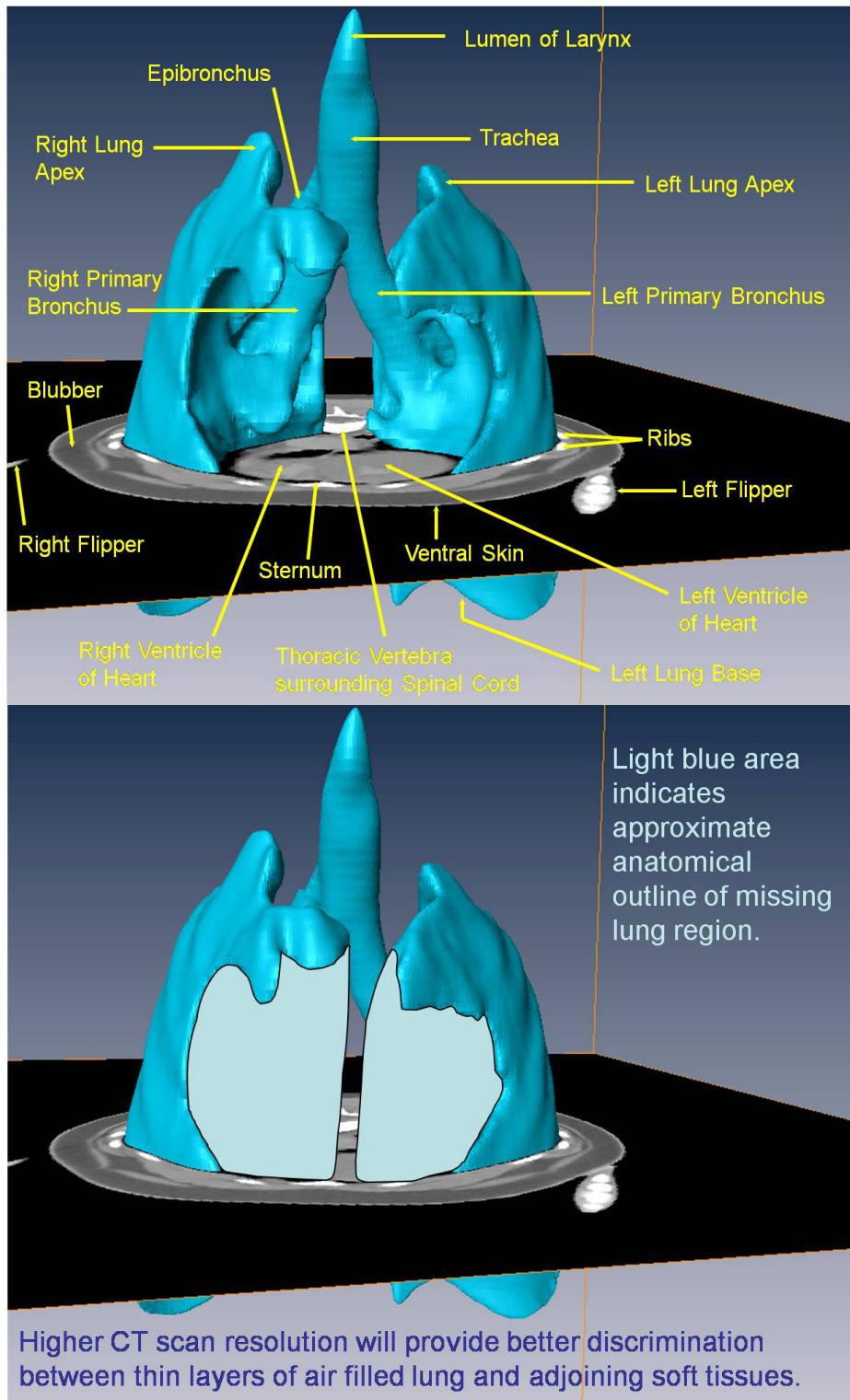


Fig. 2. Three-dimensional surface rendering, based on CT scans visualized with Amira, of the lower respiratory tract in a 142-cm-long common dolphin specimen, with superimposed transverse CT section. Upper panel: lower respiratory tract and nearby tissues labeled. Lower panel: missing lung volumes indicated.

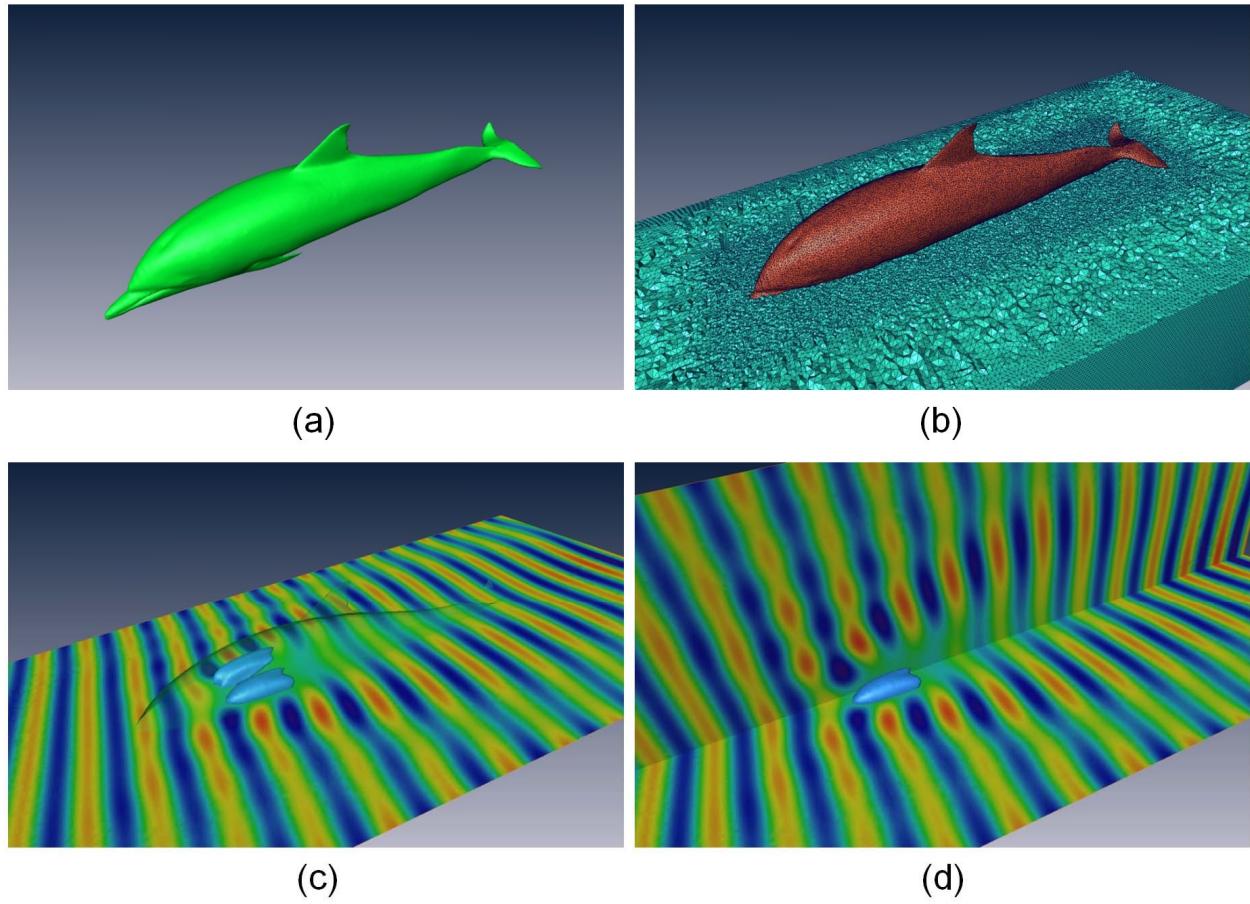


Fig. 3 Finite-element representation of a 142-cm-long common dolphin and simulation of the pressure field induced by a 10-kHz plane wave. (a) Volumetric rendering of the isolated animal, with skin in green. (b) Volumetric rendering of the animal, with skin in red, displayed in a cut of the meshed external volume. (c,d) Total pressure field due to a 10-kHz plane wave incident from the left along the axis of the animal, with lungs in blue.